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**UTILITY PATENT  
APPLICATION AND FEE  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

**Attorney Docket No.** 370143-66**First Inventor** David B. Fick**Title** SYNTHESIS AND METHODS OF USE OF  
TETRAHYDROINDOLONE ANALOGUES AND  
DERIVATIVES**Express Mail Label No.** EL763836332US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing.)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if  
applicable, all necessary)

3. ☒ Specification Total Pages 38  
     - Cover sheet  
     - Descriptive title of the invention  
     - Background of the Invention  
     - Brief Summary of the Invention  
     - Brief Description of the Drawings (if filed)  
     - Detailed Description  
     - Claim(s)  
     - Abstract of the Disclosure

- a. ☐ Computer Readable form
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R  
(2 copies); or
- ii. ☐ Statements verifying  
identity of above copies

**ACCOMPANYING APPLICATION PARTS**

4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 1
5. ☒ Oath or Declaration Total Pages 4  
     a. ☒ Unsigned  
     b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
         (for continuation/divisional with Box 18 completed)  
         i. ☐ Deletion of Inventor(s)  
            Signed statement attached deleting inventor(s)  
            named in the prior application, see 37 C.F.R.  
            1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

9. ☒ Assignment Papers (cover sheet & documents)
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(If foreign priority is claimed)
16. ☐ Nonpublication Request Under  
35 U.S.C. 122(b)(2)(B)(i)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of prior application No. : \_\_\_\_\_

Prior application information:      Examiner: \_\_\_\_\_      Group Art Unit: \_\_\_\_\_

**19. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label      or ☒ New correspondence address below

**OPPENHEIMER WOLFF & DONNELLY LLP**  
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 Los Angeles, California 90067 U.S.A.  
 Telephone (310) 788-5000 • Fax (310) 788-5100  
 Attn: Michael B. Farber, Esq.

**FEE CALCULATION**

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	35 - 20	15 X	\$ 18.00	\$ 630.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3 - 3	-0- X	\$ 80.00	\$
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00	
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
				Total of above Calculations =	\$1340.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				670.00
				TOTAL =	\$670.00


6. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

7. ☒ A check in the amount of \$670.00 is enclosed.

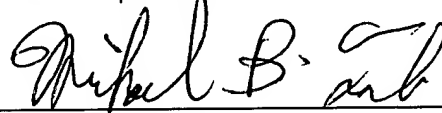
8. ☒ A check in the amount of \$40.00 for Assignment recordation is enclosed

Accordingly, issuance of the Notice to File Missing Parts – Filing Date Granted, is in order and is respectfully requested.

Express Mailing Label No. EL763836332 US  
I hereby certify that on April 20, 2001, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.10, addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

  
Joy Michaels

Dated: April 20, 2001

  
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